

Appendix 3 - Summary of Follow-up Activity

* Follow-up outcomes reported in the table below are as at the time of concluding our follow-up review. As a result, and owing to the time that may have elapsed since, the status of implementation may have since changed. Internal Audit continue to review implementation of recommendations with Management, and in line with usual practice, will report any instances of persistent non-implementation of recommendations to the Committee.

** The numbers in brackets are high risk actions that are partially or not implemented. All outstanding recommendations will continue to be monitored and reported via Departmental Management Teams.

Follow-up	Status	Follow-up Outcomes				Comments
		Implemented	Partially Implemented	Not Implemented	No longer relevant	
IT Asset Management in Shared Service	Completed	1	11 (4)	0	0	<p>The 4 High Risk actions related to the following areas:</p> <ol style="list-style-type: none"> 1. Develop and document a formal Shared Technology Services (STS) IT Asset Management Policy 2. Document the ownership of the policy including the relevant approval and review date. 3. Communicate the policy to the Councils with the option to opt in or out depending on the provisions they have locally. This should be documented and retained. 4. Provide relevant training to key stakeholders as required including their responsibility for the management and use of IT assets. <p>A revised target date of 31 January 2023 has been agreed.</p>
IT Disaster Recovery	Completed	14	0	0	0	

Follow-up	Status	Follow-up Outcomes				Comments
		Implemented	Partially Implemented	Not Implemented	No longer relevant	
Council Tax	<i>Completed</i>	6	0	0	0	
i4B Service Level Agreement	<i>Completed</i>	4	7	0	2	A revised target date of 30 September 2022 has been agreed for outstanding actions.
FWH Service Level Agreement	<i>Completed</i>	4	4	0	2	A revised target date of 30 September 2022 has been agreed for outstanding actions.
Temporary Workers	<i>Completed</i>	3	1	1	0	A revised target date of 30 September 2022 has been agreed for outstanding actions.
Planning	<i>Completed</i>	4	0	0	0	
Homecare	<i>Completed</i>	5	0	0	0	
Oracle Cloud Post Implementation Review	<i>Completed</i>	9	0	0	0	
Residential and Nursing Care	<i>In Progress</i>					
GLA Affordable Housing Programme	<i>In Progress</i>					

Follow-up	Status	Follow-up Outcomes				Comments
		Implemented	Partially Implemented	Not Implemented	No longer relevant	
Accounts Payable	<i>In Progress</i>					
Gifts and Hospitality	<i>In Progress</i>					
Early Years	<i>In Progress</i>					
Cyber Remote Working	<i>In Progress</i>					
Flexible Working	<i>In Progress</i>					
Leaseholder Repairs	<i>Scheduled for Q3</i>					
Workforce and Succession Planning	<i>Scheduled for Q4</i>					
Key Financial Controls	<i>Scheduled for Q3</i>					
Council Companies and Governance	<i>Scheduled for Q4</i>					

Follow-up	Status	Follow-up Outcomes				Comments
		Implemented	Partially Implemented	Not Implemented	No longer relevant	
I4b Health and Safety Compliance	<i>Scheduled for Q4</i>					
FWH Health and Safety Compliance	<i>Scheduled for Q4</i>					
ASC Budget Monitoring	<i>Scheduled for Q3</i>					
Equality Strategy	<i>Scheduled for Q4</i>					
Debt Management	<i>Scheduled for Q4</i>					
Fostering	<i>Scheduled for Q4</i>					