



Brent

**Performance & Finance
Review**

Performance Report 2012-13 Quarter 3

This report is designed to supplement the covering Performance & Finance Review report. It summarizes the Council's key performance indicators for the current reporting period.





**Produced by: Strategy, Partnerships & Improvement and
Finance & Corporate Services**

How to interpret this report





This report is designed to supplement the covering Performance & Finance Review report and includes a much wider suite of performance indicators. It summarizes performance information in relation to the Health & Wellbeing Strategy and other strategies which collectively enable the Council to deliver Brent's Borough Plan.

The indicators contained in this report are those which are considered essential at the current time, given the pressures which the Council faces, and are reported on a quarterly basis. The Council also has a suite of annual performance indicators which are reported on an annual basis.

Performance information is assessed using the following "Alert" symbols:

	If performance is below target.
	If performance is below the level of expected performance but is within tolerance of the target.
	If performance is as expected and the target has been met or exceeded.
	If performance cannot be fairly measured against a target because it is difficult to set a target or influence performance due to external factors then the indicator is marked as indicative only.

Finance information is assessed using the following symbols:

	If there is an overspend on the budget of more than £50k or more than 5% of the budget.
	If there is an overspend on the budget of up to £50k or less than 5% of the budget.
	If the budget is underspent or at breakeven. Or additionally, for capital budgets where increased expenditure is matched by an equivalent sum of additional funding. In these cases the capital programme remains in balance and no further action is required.
	If there has been slippage in the Capital Programme with expenditure being re-phased to future years.

The LAPS Benchmark figures– are the national average benchmark figures taken from LAPS for the same quarter the previous year.










PUBLIC HEALTH

Reference	Performance Indicator	2011-12 End of Year	LAPS Bench-mark 2011-12 Q3	2012-13 Year to date	2012-13 Current Target	Alert	Definition
Local via the PCT	Number of hospital admissions for over 65s.						Cumulative. Measures the number of people aged 65 and over who are admitted to hospital.
NI 40 via the PCT	Number of drug users recorded as being in effective treatment.	1245		1233 (Q2)			Cumulative on a 12 month rolling programme. Measures the number of drug users recorded as being in effective treatment after triage.
Local via the PCT	Tuberculosis Treatment completion rates, (percentage of cases).	87.4		85.1%	85%		In arrears. Rolling year Jan-Sept 2011. Measures the number of people who, having been diagnosed with TB complete treatment programmes.
NI 121 via the PCT	Mortality rate from all circulatory diseases at ages under 75.			76.5 (2010)			In arrears for 2010. Measures mortality rates from all circulatory diseases per 100,000 per calendar year.
NI 112 via the PCT	Under 18 conception rates.		37.5	36.2 (Q2 2010)			Q4 2010 actual. Delayed reporting 12 months in arrears. Data supplied by PCT.

Comments

- TB Treatment Completion Rates – based on notifications received until 30th September 2011 followed up to 30th June 2012; this is just above the set target for the whole borough. Please note that it is the latest performance data available for up to Jun-2011, based on historical performance due to the criteria set for this measure. HPA reports performance reports and compares performance on calendar year and the last one is for 2010, 88.9%. NB: There are some changes in their reporting practice this year.
- Mortality Rate from Circulatory Diseases for under 75's – this figure is for 2010. Finalised data for 2011 should be available in December.
- Teenage Pregnancy Rate – Figure provided is the latest finalised figure available.










ADULT SOCIAL CARE








Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
NI 125	Percentage of over 65s who are still at home after 91 days following discharge.	89%		Annual	90%		Measures the percentage of over 65s who are still at home after 91 days following hospital discharge into the Council's re-ablement services.
NI 130	Social Care clients receiving self-directed support.	53.48%	42.7%	40.0%	49.0%		Cumulative. Measures the percentage of clients receiving self-directed support per 100,000 of population.
NI 132	Timeliness of Social Care assessments: (Mental Health Only).	75.51%		51%	70%		Cumulative. Measures the percentage of adult assessments completed within 4 weeks
NI 133	Timeliness of Social Care packages following assessment. (Mental Health Only).	100%		100%	95%		Cumulative. Measures the percentage social care packages put in place within the recommended timelines following assessment.
NI 135	Percentage of carers receiving needs assessment or review and a carer's service.	29%	24.5%	12%	23%		Cumulative. Measures the percentage of carers receiving needs assessment or review and a specific carer's service, or advice and information.
Local	Quarterly number of delayed hospital discharges (Social Services).	33		13	6		Measures the quarterly number of delayed discharges from hospitals.
Local	Number of clients living in the community and receiving a service.	4852		4628	Indicative only		Measures the number of clients who are currently living independently in the community.
Local	Number of clients in nursing and residential care.	1019		1005	Indicative only		Latest. Gives a snapshot of social care clients in nursing and residential care in the borough.
Local	Number of clients in residential care who suffer from dementia	152		198	Indicative only		Latest. Gives a snapshot of the number of residential care clients who also have dementia.

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
Local	Number of adult contacts who were eligible after Fair Access to Care screening.	3028		2115	Indicative only	●	Cumulative. Measures the total number of clients who were eligible to receive re-ablement or long-term services after assessment.
Local CMP10	Total number of complaints received (stage 1).	95		81	Indicative only	●	Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	3		1	Indicative only	●	Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Comments
<ul style="list-style-type: none"> NI125 – This is an annual indicator. The snapshot measures the number of older people (65 and over) who have been discharged from hospital between 1st Oct – 31st Dec, are still living at home 3 months later (Jan – March). Therefore this information will not be available until the end of the financial year at the earliest. NI130 – The collection method for this indicator has changed from Q1 to Q2 to a more robust method and hence the performance data is more reliable. Q2's data was amended to include mental health data. NI132 - The number of assessments completed within 28 days has decreased for this quarter and the number of assessments taking more than 4 weeks has increased overall which has brought the percentages down.

CHILDREN & FAMILIES













Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
NI 114	Rate of permanent exclusions.	0.60	0.1	0.31	1.0		Measures the annual rate of exclusions from Brent-maintained schools per 1000 pupils.
Local	Net shortfall of places at Key Stage 1	-439		36	0		Measures the number of unplaced pupils in Reception, Year 1 and Year 2 compared to the number of vacancies for 4-6 year olds. Negative = shortfall, positive = surplus.
Local	Net shortfall of places at Key Stage 2	57		67	0		Measures the number of unplaced pupils in Years 3,4,5,6 compared to the number of vacancies for 7-10 year olds. Negative = shortfall, positive = surplus.
Local	Net shortfall of places at Key Stage 3	388		550	0		Measures the number of unplaced pupils in Years 7,8,9,10,11 compared to the number of vacancies for 11-16 year olds. Negative = shortfall, positive = surplus.
NI 117	Percentage of 16 to 18 year old NEETs	3.9%	4.8%	3.1%	5%		Measures the percentage of 16 to 18 year olds who are not in Education, Employment or Training.
NI 148	Percentage of care leavers in employment, education or training	64%	55.8%	54%	64%		Measures the percentage of care leavers who are in Education, Employment or Training.
Local	Percentage of parents completing evidence based parenting programmes	31%		60%	50%		Measures the percentage of teenage mothers registered with Brent children's centres. Demand led = target represents the London Average.
Local	Proportion of child referrals to social care, which are repeat referrals.	17%		12.1%	17.2%		Measures the percentage of children within the social care service which are repeat referrals.
Local	Number of under 18 year olds subject to a child protection plan.	173		134	N/A		Indicative only: target for monitoring. Measures the number of under-18 year olds who have a child protection plan in place.

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
NI 062	Stability of placements for looked after children (LACs): number of moves.	14.2%	9.2%	8.6%	13%		Cumulative: Measures the rate of looked after children (as part of Brent LAC total) who have had 3 or more different placements.
NI 062 d	Number of looked after children in Brent.	371		346	Indicative only		Snapshot: Measures the number of looked after children in Brent.
Local	Number of looked after children placed with Independent Fostering Agencies.	101		89	100		Measures the number of looked after children placed with independent fostering agencies.
Local	Number of looked after children placed with in-house foster carers.	113		111	127		Measures the number of looked after children placed with local foster carers in Brent.
NI 019	Rate of proven re-offending by young offenders in Brent.	35%	4.9% (8 returns inc. Brent)	32%	37%		Measures the percentage of young offenders who go on to re-offend.
Local CMP10	Total number of complaints received (stage 1).	181		132	Indicative only		Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	13		5	Indicative only		Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Comments

- NI 117 (NEETs): This figure is provisional as returns have not been confirmed with DfE. This usually happens mid-month. The NEET target is measured as an average over the 3 months November to January each year. In 2011 2012 Brent was the joint 10th best performing LA nationally on this indicator. Although the performance for November 2012 to January 2013 will not be known until mid February 2013, we are currently on track to meet the target.
- There have been 12 Parenting Programmes to date, with 121 participating in total. Of these 121 parents, 73 completed the programme.










ENVIRONMENT & NEIGHBOURHOOD SERVICES








Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
NI 191	Volume of residual waste kg per household.	557	292.6 (Q2)	241 (Q2)	219.5 (Q2)		Cumulative. Measures household waste that is not re-used, recycled or composted.
NI 192	Percentage of household waste sent for recycling.	37%	35.4% (Q2)	47.05% (Q2)	46.5% (Q2)		Measures the percentage of household waste re-used, recycled or composted.
Local	Tonnes of waste sent to landfill.	73,524		35,256 (Q2)	28,250 (Q2)		Measures the volume of waste sent to landfill sites.
Local	Number of small reported flytips	2106		1826	1571		Latest. Measures the number of small fly tipping incidents reported
Local	Number of large reported flytips	5046		3687	3750		Latest. Measures the number of large fly tipping incidents reported
Local	Flytipping Enforcement: No of Inspections and Investigations	4337		1307	2850		Latest. Measures the number of inspections and investigations relating to fly tipping incidents
Local	Flytipping Enforcement: No of Enforcement Actions Taken	614		166	114		Latest. Measures the number of enforcement actions taken relating to fly tipping incidents
Local	Number of library visits per 1000 population.	5873		3449	4448		Cumulative. Measures the number of visits to Brent libraries.
Local	Active library users as a percentage of population.	15.6%		19.9%	21.0%		Measures the proportion of people to borrow books from the libraries.
Local	Number of visits to Brent Sports Centres to partake in sports activity	1.24m		949,669	908472		Cumulative. Measures the number of adults to visit sports centres to actively partake in sport.
NI 195a	Percentage of Streets below standard for litter.	15.3%	5.9%	11.1%	15%		Measures the percentage of streets which fail to meet environmental cleanliness standards. Per 4 month tranche.
Local CMP10	Total number of complaints received (stage 1).	410		377	Indicative only		Cumulative. Measures the number of new complaints relating to each service area at the first stage.

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
Local CMP11	Total number of complaints escalated to stage 2.	36		15	Indicative only	●	Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Comments
<ul style="list-style-type: none"> NI 191, NI 192 and Tonnes of Waste Land Filled: reported a quarter in arrears YTD values (Q1 and Q2) for fly tipping indicators were revised in December 2012 following a data audit/verification process for each of the indicators reported Active library borrowers indicator has been replaced with active library users as this is more reflective of the way libraries are now being used, online etc. The target for active library users will be revised at the start of the new financial year to reflect the increase in population in Brent as per the 2011 census. Complaints: The highest complaint areas were Recycling & Waste and Safer Streets.

REGENERATION & MAJOR PROJECTS











Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
NI 154	Net additional homes provided	559	604.9 (12 returns inc. Brent)	Annual	915		Cumulative: Measures the number of additional homes provided
NI 157a	Percentage of major Planning applications processed within 13 weeks.	41%	47.1%	62.5%	70%		Measures the efficiency of the Planning applications process.
NI 157b	Percentage of minor Planning applications processed within 8 weeks.	67%	70.9%	78%	80%		Measures the efficiency of the Planning applications process.
NI 157c	Percentage of other Planning applications processed within 8 weeks.	81%	81.8%	83%	90%		Measures the efficiency of the Planning applications process.
Local	Percentage of working age residents in employment	64.2%	68.1%	64.1%	Indicative only		Measures the percentage of eligible age residents who are currently employed.
Local	Gap between Brent and London for working age people on out of work benefits.	3.45%	3.3%	3.7%	Indicative only		Measures how Brent's cohort of working age people on out of work benefits compares to the London average figures.
NI 156	Number of households living in Temporary Accommodation.	3176	1173.4	3220	3600		Cumulative. Measures the number of households in temporary accommodation provided under Homelessness legislation.
Local	Percentage of residents with no qualifications	-4.8%		1.9%	Indicative only		Latest. Measures the percentage gap between Brent and London average. Minus figure reflects higher than average.
Local	Percentage of empty commercial properties in the borough	14.99%		13.21%	Indicative only		Latest. Percentage of total commercial properties which remain unoccupied.

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
Local	Current rent collection rate as a percentage of total rent due (excl. arrears)	99.1%		98.4%	98%		Latest. Percentage of rent collected by the Council as a proportion owed by Housing Revenue Account dwellings.
Local	Average days taken to re-let Council properties	27		19.72	20		Measures the average number of days taken to re-let Council properties.
Local	Percentage of repairs completed on the first visit.	95%		96.85%	95%		Measures the efficiency of the Housing Repairs system.
Local CMP10	Total number of complaints received (Local Resolution).	281		212	Indicative only		Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of final review complaints received	47		26	Indicative only		Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.
Brent Housing Partnership (BHP)							
Local CMP10	Total number of complaints received (stage 1).	540		307	Indicative only		Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	74		32	Indicative only		Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Comments

- NI 154 - There will be no firm information on the number of new homes provided until after the end of the financial year when a completions survey is undertaken. The assessment of progress is based upon an estimate of the level of devt. Activity
- NI 157a – Performance against the major applications target (NI 157a) is the most vulnerable to external factors and will be a key indicator for the Government's proposal to remove planning powers from authorities who fail to meet national performance targets (which are below Brent targets).
- NI 157 (a, b &c) - All three applications that went over the target time were Brent related schemes which were reported to the Planning Committee within target. Two overran due to delays satisfying the Mayor of London and the other related to a sale of Council property. It is recommended that this success factor is included in the programming of future corporate planning applications and that Planning Performance Agreements are included to formally vary the target timescale.
- Proportion of residents with no qualifications: Remains the same as last quarter. There has been a massive reversal of the proportion of residents with no qualifications; the rate has increased by more than 100%. The previous years (Jan 10-Dec 10) data jumped from 5.1% to 11.2% (Jan 11-Dec 11)
- Planning Complaints constant with 10 local resolutions and one final review for this reporting period. The reporting method on response time was changed from a percentage to show case numbers, based on the total sent and the total sent in time. The complaints service is continuing to work with the department to improve response times.
- Housing complaints are comparable with previous quarters and with clients wanting to improve their banding in being able to acquire permanent accommodation. There has been a general rise in demand through the homeless route as welfare cuts start to bite. Also increased correspondence concerning clients looking for permanent accommodation through the waiting list and enquiries about affordability of housing. Following the recent restructure, there has been a big increase in correspondence from clients in temporary accommodation which is presently being addressed in the current restructure.

















CENTRAL SERVICES

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
Local	Violence with Injury Offences	2662	-	1771	2529 (Annual) 1896 (YTD)		Measures the cumulative number of violence with injury offences.
Local	Number of Motor vehicle Crime Offences.	3322	-	2063	3056 (Annual) 2292 (YTD)		Measures the number of motor vehicle crime offences (of and from) recorded by the police.
Local	Number of Personal Robberies: cumulative rolling financial year.	5758		1115	1866 (Annual) 1340 (YTD)		Cumulative. Measures the number of personal robberies
Local	Number of Residential Burglaries: cumulative	7663		2012	2879 (Annual) 2159 (YTD)		Cumulative. Measures the number of residential burglaries
NI 181	Time taken to process all Benefit claims.	8.19	-	8.19	8.00		Measures the average number of days taken to process Housing Benefit/Council Tax Benefit claims and change events.
Local	Council Tax collection rates.	96.02%	82.4%	84.73%	96.20% (Annual)		Cumulative. Percentage. Measures Council Tax collected as an amount against the net debit raised at the start of the financial year.
NI 185	Volume of CO2 emissions from council main buildings.	2.76m		1.99m	Indicative only		Cumulative. Measures the amount of CO2 emissions in tonnes from Mahatma Gandhi House, Brent House and Town Hall.
Local	Average number of working days lost due to sickness absence.	5.34	7.4	0.9	Indicative only		Measures the average number of days lost across the Council due to sickness absence.
Complaints: Strategy partnerships and improvement							
Local CMP10	Total number of complaints received (stage 1).	0		0	Indicative only		Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	0		0	Indicative only		Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Reference	Performance Indicator	2011-12 End of year	LAPS Bench mark 2011-12 Q3	2012-13 Year to date	2012-13 Current YTD Target	Alert	Definition
Complaints: Customer and community engagement							
Local CMP10	Total number of complaints received (stage 1).	37		10	Indicative only	●	Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	0		0	Indicative only	●	Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.
Complaints: Finance and corporate services							
Local CMP10	Total number of complaints received (stage 1).	221		26	Indicative only	●	Cumulative. Measures the number of new complaints relating to each service area at the first stage.
Local CMP11	Total number of complaints escalated to stage 2.	16		5	Indicative only	●	Cumulative. Measures the number of complaints over the year that were not resolved at stage 1 and escalated to stage 2.

Comments
<ul style="list-style-type: none"> NI 15 (serious violent crime) and NI 16 (serious acquisitive crime rate) these indicators are no longer collected by the MET Police in the same way and therefore a statistical comparison is unavailable, and data would be unreliable. We have therefore replaced these indicators with Violence with Injury offences and Motor Vehicle Crime (of and from). Residential Burglary. <ul style="list-style-type: none"> a. 2012/2013 burglary reduction target is to reduce residential burglary by 6%. b. Police YTD figures as at 14 January 2013 show a 7.4% reduction compared to the same period in 2011/2012. This is 2280 residential burglaries in 2011/12 compared to 2112 residential burglaries in 2012/2013 – 168 less residential burglaries. c. Sanction detection rate set for 2012/13 is 12%. Police YTD figures as at 14 January 2013 show a 19.9% sanction detection rate achieved compared to 15% sanction detection rate achieved for the same period in 2011/12. Personal Robbery – <ul style="list-style-type: none"> a. 2012/2013 Brent MPS target is to achieve 11% reduction compared to the previous year (2011/12). This target has been exceeded. b. Police YTD figures as at 14 January 2013 show a 30.9% reduction (513 less incidents) achieved compared to the same period in 2011/2012. c. The sanction detection rate target for 2012/2013 is 18%. d. Police YTD figures as at 14 January 2013 show a 15.2% SD rate compared to 13.6% for 2011/2012. Although we have exceeded last years SD rate for the same period, we are still below the target of 18%. Registration and Nationality's (part of Customer and Community Engagement) complaints are not currently being recorded as part of the Council's complaints procedure.

One Council Programme Quarterly Snapshot Position















PROJECT NAME	PROJECT SPONSOR	PROJECT STAGE	RAG STATUS
Projects in Delivery and Reporting into the OC Programme (16)			
1. Web Enhancement	Toni McConville	Delivery	
2. Digital Post Room	Margaret Read	Delivery	
3. Civic Centre (including Move to the Civic Centre)	Andy Donald	Delivery	
4. Project Athena: E-business suite	Andy Donald	Delivery	
5. Realigning Corporate and Business Support	Christine Gilbert	Delivery	
6. Procurement (Training and Practice / E-Procurement / Additional Operational Savings from Procurement Activities)	Fiona Ledden	Delivery	
7. Special Educational Needs (SEN) Review: Phase 2	Sara Williams	Delivery	
8. Libraries Transformation	Jenny Isaac	Delivery	
9. Parking Enforcement Review	Michael Read	Delivery	
10. Highways	Jenny Isaac	Delivery	
11. Managing the Public Realm	Jenny Isaac	Delivery	
12. Improving Waste Management	Michael Read	Delivery	
13. Adult Social Care - Direct Services (Learning Disabilities)	Alison Elliott	Delivery	
14. Supporting People Phase 1	Steven Forbes	Delivery	
15. Developing a Model for Public Health in Brent	Phil Newby	Delivery	
16. Working with Families Phase 2	Phil Newby	Delivery	
Other Projects (not reporting directly into the OC Programme) (5)			
1. Customer & Visitor Management (CC Operations)	Margaret Read	Delivery - new	
2. Review of Employee Benefits	Phil Newby	Delivery – PSR not required	
3. Services for Young People (Phase 1)	Cathy Tyson	Delivery – PSR not required	N/a
4. Review of School Improvement Service	Sara Williams	Delivery- PSR not required	N/a
5. Working with Families Phase1	Phil Newby	Delivery – PSR not required	N/a
Planned Projects (at the pre-Delivery stage) (1)			
1. Integrating Health and Social Care	Alison Elliott	Pre-delivery (6mths +)	N/a
Completed Projects (15)			
1. Finance Modernisation Project		Closed	N/a - closed
2. Income Maximisation		Closed	N/a - closed
3. Staffing & Structure Review Wave 1		Closed	N/a - closed
4. Staffing & Structure Review Wave 2		Closed	N/a - closed
5. Temporary Labour Project	Fiona Ledden	Closed	N/a - closed
6. Strategic Procurement Review	Fiona Ledden	Closed	N/a - closed
7. Future Customer Service	Toni McConville	Closed	N/a - closed
8. Transitions into Adult Life	Alison Elliott	Closed	N/a - closed



PROJECT NAME	PROJECT SPONSOR	PROJECT STAGE	RAG STATUS
Completed Projects (15 continued)			
9. SEN Review Phase 1	Krutika Pau	Closed	N/a - closed
10. Children's Social Care Transformation	Krutika Pau	Closed	N/a - closed
11. Children with Disabilities	Graham Genoni	Closed	N/a - closed
12. Waste & Street Cleansing Review	Sue Harper	Closed	N/a - closed
13. Adult Social Care: Customer Journey	Alison Elliott	Closed	N/a - closed
14. Adult Social Care Commissioning	Alison Elliott	Closed	N/a - closed
15. Housing Needs Transformation	Andy Donald	Closed	N/a - closed


Performance and Finance Review

Finance Report

ADULT SOCIAL SERVICES

Budget: GENERAL FUND					
Adult Social Services					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
<u>Operational</u>					
Directorate	1,743	5,571	5,447	(124)	
Voluntary Sector	1,344	0	4	4	
Reablement & Safeguarding	3,011	3,539	3,306	(233)	
Support Planning & Review	3,450	3,870	3,835	(35)	
Day Centres	6,946	5,456	5,420	(36)	
Client Services	14,557	15,367	15,343	(24)	
Total Operational	31,051	33,803	33,355	(448)	
<u>Purchasing</u>					
Older People's Services	23,833	21,669	21,650	(19)	
Learning & Disability	18,487	15,396	15,362	(34)	
Mental Health	7,351	7,467	7,453	(14)	
Physical Disability	8,223	7,180	7,145	(35)	
Transitions	0	5,513	6,149	636	
Total Purchasing	57,894	57,225	57,759	534	
Total	88,945	91,028	91,114	86	

Budget: CAPITAL					
Unit	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Adults: Individual schemes	0	0	0	0	
Ring-fenced grant					

notifications for adult care	300	1,532	1,532		0	
Total	300	1,532	1,532		0	

Key Financial Risks

Adult Social Services Revenue






Current forecasts are that the outturn overspend will reduce to £86k, some £452k less than the forecast of £538k at quarter 2. The department is working hard to identify new areas to bring this down further, so that at year end it hopes to have a balanced budget position. The financial pressure in 2012/13 relates to the shortfall in funding for transitions over the last two financial years, a pressure of £1m and the department has worked continuously to reduce this with compensating underspends in other areas of the budget such as:



- Keeping vacancies unfilled and not recruiting agency staff.
- On off top slicing of grants to voluntary organisations in relation to care services and HIV/Aids services.
- Additional agreement on capitalisation of occupational therapy costs.
- Agreement from the PCT for funding of staff costs for the memory clinic and for historic rent and service charges

Adult Social Services Capital

£20k of budget and grant has been transferred to Regeneration and Major Projects to fund the work at the John Billam Resource Centre.

CHILDREN & FAMILIES

Budget: GENERAL FUND					
Children and Families					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Achievement & Inclusion	46,718	47,065	46,765	(300)	
Social Care	37,550	32,923	33,774	851	
Central Support & Other	1,734	1,075	574	(501)	
Schools and Dedicated School Grants	(32,887)	(34,810)	(34,810)	0	
Total	53,115	46,253	46,303	50	

Budget: CAPITAL					
Unit	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Increasing nursery provision	0	883	883	0	
Total	0	883	883	0	

Key Financial Risks

Children and Families Revenue

The service area is currently forecasting an overspend of £50k, a change of £38k on the £12k overspend reported in quarter 2. There continue to be pressures on the children's social care purchasing and placement budget although steps have been taken during the year to reduce these costs.

- Following a successful legal challenge by a number of local authorities on the basis of how monies were being deducted for academy funding for LACSEG as part of the local government finance settlement the authority received £500k compensation.
- Lower than expected redundancy costs following the review of Early Years has resulted in £200k of additional savings.
- Savings from Transport and Business Support are both contributing £150k of savings each.
- The above savings have allowed the pressures on the Social Care purchasing and placements

Key Financial Risks

budget to be balanced.

The schools budget is currently forecasting an overspend of £182k which is a £386k improvement on the £568k reported in quarter 2. There are two main elements of overspending

- £1m estimated overspend in SEN mainly in out of borough Mainstream & Independent Day Special pupils.
- Pupils without school places is currently forecasting an overspend of £1.062m with a significant increase since August because of the new September 2012 intake of pupils.

These overspends are offset by





- £1.4m from the schools budget headroom which was approved as part of the schools budget deficit recovery plan.
- The review of the Alternative Education Services has achieved savings of £300k from the closure of one of the Pupil Referral Units.
- £100k savings from Schools Improvement Service











The current forecast is subject to further review once the final Dedicated Schools Grant allocation for 2012/13 has been announced by the DfE and a firmer forecast should be available at that point.

Children and Families Capital


Children and Families has an additional grant, which is being used to increase private, voluntary and independent nursery provision

ENVIRONMENT AND NEIGHBOURHOOD SERVICES

Budget: GENERAL FUND					
Environment and Neighbourhood Services					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Directorate	844	849	820	(29)	
Neighbourhood Services	9,172	9,129	9,080	(49)	
Environment & Protection	26,632	24,118	24,664	546	
Total	36,648	34,096	34,564	468	

Budget: CAPITAL					
Unit	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
TfL grant funded schemes	4,179	4,222	4,222	0	
Estate Access Corridor	593	1,201	1,201	0	
Stadium Access Corridor	0	30	30	0	
Leisure & Sports schemes	643	1,247	1,247	0	
Environmental Initiative schemes	3,389	2	2	0	
Public Realm	0	4,347	4,347	0	
Highways schemes	5,081	3,575	3,575	0	
Parks & Cemeteries schemes	483	912	912	0	
Library schemes	0	100	100	0	
S106 works	0	0	0	0	

Budget: CAPITAL

Unit	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Total Environment & Neighbourhoods Capital Programme	14,368	15,636	15,636	0	

Key Financial Risks














Environment and Neighbourhood Services Revenue




Environment and Neighbourhoods is currently forecasting an overspend of £468k for 2012/13. The projected tonnages on waste and recycling have increased since quarter 2 and current forecasts are for a £796k overspend predominantly around higher than anticipated tonnages for residual waste to landfill. There continue to be issues over staffing costs following the wave 2 staffing and structure review and a shortfall in highways and licensing income. To meet the overspend £443k of balance sheet deposits and provisions no longer required will be written back. Negotiations are also taking place with Veolia to achieve lower gate fees, a reduction in vehicle costs and a review of rounds. Action is also being taken to review agency and freeze vacant posts and non essential spend. Overall the position is £316k worse than quarter 2.

Environment and Neighbourhood Services Capital




The budget for the public realm has been reduced by £1,853k to reflect savings on the purchase costs of the depot.

REGENERATION & MAJOR PROJECTS









Budget: GENERAL FUND					
Housing					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Housing Benefit Deficit	710	1,134	944	(190)	
Housing Needs	8,514	7,114	6,443	(671)	
Private Housing Services	710	727	727	0	
Supporting People	10,383	9,953	9,677	(276)	
Other Housing Services	604	295	432	137	
Total	20,921	19,223	18,223	(1,000)	
Non Housing					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Civic Centre & Major Projects	436	3,188	3,188	0	
Directorate & Business Support	516	487	487	0	
Planning & Building Control	1,330	1,022	1,022	0	
Policy & Regeneration	126	478	478	0	
Property & Asset Management	9,778	9,112	9,112	0	
Total	12,186	14,287	14,287	0	
Total Regeneration and Major Projects	33,107	33,510	32,510	(1,000)	

Budget: CAPITAL					
Housing	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
PSRSG & DFG Council	4,169	6,030	6,030	0	
HCA Empty Home programme	0	288	288	0	
New units	0	0	0	0	
Housing: Individual schemes	115	527	527	0	




Budget: CAPITAL











Housing	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,		2012/13 (Under)/Over Spend £000,	Alert
Right to buy administration costs	34	0	0		0	
Total Housing Capital Programme	4,318	6,845	6,845		0	
Total Housing Revenue Account Capital Programme	10,835	12,523	12,523		0	

Budget: CAPITAL

Regeneration & Major Projects	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,		2012/13 (Under)/Over Spend £000,	Alert
Civic Centre	34,042	56,033	56,033		0	
Children & Families	47,139	59,733	59,733		0	
Culture	(57)	0	0		0	
Adults & Social Care	0	0	0		0	
Housing	0	0	0		0	
Strategy, Partnership and Improvement	8,935	25,127	25,127		0	
S106 Works	0	13,678	13,678		0	
Total Regeneration and Major Projects Capital Programme	90,059	154,571	154,571		0	

Budget

Housing Revenue Account (HRA)	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,		2012/13 (Under)/Over Spend £000,	Alert
Rent and Rates	1,459	1,759	1,759		0	
Capital Financing	19,946	11,456	11,456		0	
Depreciation (MRA)	8,078	13,720	13,261		(459)	

General/Special Management/Services	15,005	17,202	16,654	(548)	
Housing Repairs	11,018	11,467	11,467	0	
Provision for Bad Debts	736	658	658	0	
HRA Subsidy	(8,000)	0	0	0	
Rent & Service Charge Income	(47,498)	(53,638)	(52,631)	1,007	
Other Income	(641)	(438)	(438)	0	
Transfer to/(from) Reserves	(676)	(1,890)	(1,890)	0	
Total	(573)	296	296	0	
Balances b/fwd	(1,695)	(696)	(2,268)	0	
Surplus c/fwd	(2,268)	(400)	(1,972)	0	

Key Financial Risks

Regeneration and Major Projects Revenue

Regeneration and Major Projects are now forecasting an underspend of £1m an improvement from the £500k underspend reported in quarter 2

The main pressure within Regeneration and Major Projects was envisaged at the start of the year to be the housing benefit scheme changes resulting from the introduction of the Local Housing Allowance caps in April 2011. Temporary Accommodation budget includes growth of £1.134m in order to assist in managing the cost pressures and increased service demand. The pressures on the temporary accommodation and housing benefit budgets are not as high as projected and it is currently showing an underspend of £861k in total. It is proposed that expected surplus on Regeneration & Major Projects for 2012/13 will be set aside in a reserve to help meet the additional temporary accommodation pressures in 2013/14.

Housing Revenue Account (HRA) Revenue

The HRA forecast is currently in line with budget.

Regeneration and Major Projects Capital

Within the Children and Families capital programme, there are a number of changes. £8k has been vired from the Schools Asset Management Plan to Access Initiatives; and £104k has been vired from Schools

Key Financial Risks

Asset Management Plan to the Provision for Temporary School Expansion. The project at Islamia has slipped from this year, reducing forecast expenditure by £2,932k. Expenditure on school expansion schemes has been rephased, and in the current year forecast expenditure has decreased by £1,781k at Barham Park, £1,328k at Mitchell Brook Primary, and £2,577k at Fryent Primary School. £167k has been added to the schools expansion programme funded by surplus capital receipts. £47,436k of grant allocated to expansion schemes has been slipped to future years. £170k of Short Breaks for Disabled Children grant has been received, but not allocated to a scheme.

The Strategy, Partnership and Improvement schemes include a reduction in forecast expenditure of £2,816k this year for South Kilburn Regeneration due to a revised cashflow. A transfer of £20k of grant for John Billam from Adult Social Services. New spending of £340k on Public Conveniences in the Wembley Area is funded by £308k of Section 106, with the balance funded from revenue.







Housing General Fund Capital







£1,800k of spending on Private Sector Renewal Support Grant and Disabled Facilities Grant council has been slipped to 2013-14. £288k of additional funding has been received from the HCA.

Housing Revenue Account (HRA) Capital

Rooftop Aerials to Housing Blocks has slipped by £560k; Health & Safety Works in South Kilburn has slipped by £530k; Health & Safety Works to Housing Blocks has slipped by £1,1730k; Loft Conversions has slipped by £50k; Major Repairs Allowance Works has slipped by £4m; an additional £122k compulsory purchase order has been funded by Notting Hill Housing Association.

CENTRAL SERVICES

Budget: GENERAL FUND					
Central Services					
Unit	2011/12 Out-turn £000,	2012/13 Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
Chief Executive's Office	487	481	481	0	
Customer & Community Engagement	3,577	3,777	3,777	0	
Legal and Procurement	1,245	5,025	5,025	0	
Finance & Corporate Services	21,150	21,984	21,984	0	
Strategy, Partnerships and Improvement	4,554	6,298	6,029	(269)	
Total	31,013	37,565	37,296	(269)	

Budget: CAPITAL					
Unit	2011/12 Out-turn £000,	2012/13 Proposed Budget £000,	2012/13 Forecast £000,	2012/13 (Under)/Over Spend £000,	Alert
ICT schemes	1,780	1,619	1,619	0	
Property schemes	0	0	0	0	
Strategy, Partnerships and Improvement Schemes	0	0	0	0	
Central Items	2,765	2,319	2,319	0	
S106 works	0	0	0	0	
Total Corporate Capital Programme	4,545	3,938	3,938	0	

Key Financial Risks

Central Services Revenue

The forecast outturn position remains unchanged from the quarter 2 position of a £269k underspend. This covers an underspend on vacant posts in the policy area of Strategy, Performance & Improvement. It is anticipated that by year end there will be further underspends in Strategy, Performance & Improvement




























Key Financial Risks

and potentially within the Chief Executive's Office.

Central Services Capital

The has been no change from the Quarter 2 budget.

SUMMARY

Overall Summary						
		Original Budget £000,	Latest Budget £000,	Forecast £000,	Variance £000,	Alert
Departmental Budgets						
	Adult Social Services	87,552	91,028	91,114	86	
	Children and Families	51,402	46,253	46,303	50	
	Environment and Neighbourhood Services	34,073	34,096	34,564	468	
	Regeneration & Major Projects	33,277	33,510	32,510	(1,000)	
	Central Services	32,550	37,317	37,048	(269)	
	Transfer to Reserves	0	0	1,000	1,000	
	Total	240,854	242,452	242,787	335	
Central Items						
	Capital Financing and Other Charges	25,343	25,343	24,166	(1,177)	
	Levies	2,579	2,579	3,129	550	
	Premature Retirement Compensation	5,416	5,416	5,266	(150)	
	Insurance Fund	1,800	1,800	1,800	0	
	New Homes Bonus	(2,794)	(2,794)	(2,794)	0	
	One Council Programme	(734)	(69)	(69)	0	
	Remuneration Strategy	229	229	229	0	
	South Kilburn Development	900	900	900	0	
	Affordable Housing PFI	1,288	0	0	0	
	Carbon Tax	304	304	67	(237)	
	Redundancy & Restructuring Costs	4,354	4,354	4,354	0	
	Inflation Provision	2,025	1,050	1,050	0	
	Council Tax Freeze Grant	(2,575)	(2,575)	(2,605)	(30)	
	Government Grants	(24,638)	(24,638)	(24,654)	(16)	
	Transformation Enabling Fund	3,500	3,500	3,500	0	
	Other Items	1,541	1,541	1,541	0	
	Total central items	18,538	16,940	15,880	(1,060)	
	Contribution to/(from) balances	1,000	1,000	1,725	725	
	Total Budget Requirement	260,392	260,392	260,392	0	
	Balances c/Fwd 1 st April 2012	10,080	10,080	10,316	236	
	Contribution from balances	1,000	1,000	1,725	725	
	Total Balances for 31st March 2013	11,080	11,080	12,041	961	

Capital Programme Virement and Adjustment Schedule 2012/13

	Adult Social Services £000,	Environment & Neighbourhood Services £000,	Regeneration & Major Projects £000,	Central Services £000,	Finance & Corporate Services £000,	Children & Families £000	Total General Fund £000,	Housing Revenue Account £000,
1. John Billam	(20)		20				0	
2. Increasing Nursery Provision						883	883	
3. Public Realm		(1,853)					(1,853)	
4. RMP Internal Virements			0				0	
5. Islamia			(2,932)				(2,932)	
6. Schools Expansion rephasing			(53,122)				(53,122)	
7. School Expansion additional funding			167				167	
8. Additional Short breaks funding			170				170	
9. South Kilburn			(2,816)				(2,816)	
10. Public Conveniences			32				32	
11. PSRSG&DFG			(1,800)				(1,800)	
12. HCA Grant			288				288	
13. HRA Slippage							0	(6,870)
14. HRA CPO							0	122
Total	(20)	(1,853)	(59,993)	0	0	883	(60,983)	(6,748)

1. John Billam Resource centre - £20k of grant funding has been transferred from Adult Social Services to Regeneration and Major Projects for this project
2. Children and Families has received £883k grant to be spent on increasing Private, Voluntary and Independent Nursery provision
3. The budget for the public realm has been reduced by £1,853k reflecting savings on the purchase costs of the depot.
4. There are several virements with the Children and Families capital programme. £8k has been vired from the Schools Asset Management Plan to Access Initiatives; and £104k has been vired from Schools Asset Management Plan to the Provision for Temporary School Expansion.
5. The project at Islamia has slipped from this year, reducing forecast expenditure by £2,932k.
6. Expenditure on school expansion schemes has been rephased, and in the current year forecast expenditure has decreased by £1,781k at Barham Park, £1,328k at Mitchell Brook Primary, and

£2,577k at Fryent Primary School. In addition, £47,436k of grant allocated to expansion schemes has been slipped to future years.

7. £167k has been added to the schools expansion programme funded by surplus capital receipts.
8. £170k of Short Breaks for Disabled Children grant has been received, but not allocated to a scheme.
9. £2,816k reduction in spending at South Kilburn Regeneration is due to a revised cashflow.
10. £32k of revenue contribution towards Public Conveniences in the Wembley Area is supplemented by £308k of Section 106.
11. £1,800k of spending on Private Sector Renewal Support Grant and Disabled Facilities Grant council has been slipped to 2013-14.
12. £288k of additional funding has been received from the HCA.
13. Rooftop Aerials to Housing Blocks has slipped by £560k; Health & Safety Works in South Kilburn has slipped by £530k; Health & Safety Works to Housing Blocks has slipped by £,1730k; Loft Conversions has slipped by £50k; Major Repairs Allowance Works has slipped by £4m
14. £122k compulsory purchase order has been funded by Notting Hill Housing Association.